

AMITSUR'S CONJECTURE ON POLYNOMIAL RINGS  
IN  $n$  COMMUTING INDETERMINATES

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ABSTRACT

For each natural number  $n$  we construct an algebra over a countable field such that the polynomial ring in  $n$  commuting indeterminates over this algebra is Jacobson radical but not a nil-ring. This answers a question of Amitsur.

1. Introduction

A theorem of Amitsur [2] says that the Jacobson radical of the polynomial ring over an associative ring  $R$ ,  $J(R[x])$ , is equal to  $I[x]$  for some nil ideal  $I$  of  $R$ . In [3] Amitsur constructed, for a given ring  $R$ , the descending chain of its radicals

$$J(R) \supseteq K(R) \supseteq J_1(R) \supseteq K_1(R) \supseteq \dots \supseteq J_\infty(R) = K_\infty(R),$$

where  $J(R)$  and  $K(R)$  denote the Jacobson and upper nil radical of  $R$ , and, for every  $n$ ,  $J_n(R) = J(R[x_1, \dots, x_n]) \cap R$ ,  $K_n(R) = K(R[x_1, \dots, x_n]) \cap R$ , where  $R[x_1, \dots, x_n]$  is the polynomial ring over  $R$  in  $n$  commuting indeterminates. The question of whether there exists a ring  $R$  for which this is a strictly descending chain is open. The aim of this paper is to show that for each  $n$  there is a ring  $R_n$  such that the inclusion  $J_n(R_n) \supset K_n(R_n)$  is strict. Observe that the ring  $R = \bigoplus_{i=1, \dots, \infty} R_i$  is such that  $R = J(R) = K(R) = \dots = J_i(R)$  and  $J_n(R) \neq K_n(R)$  for  $n \geq i$ .

It is known [1] that if  $A$  is an algebra over an uncountable field then

$$J(A) = K(A) = J_1(A) = K_1(A) = \dots = J_\infty(A) = K_\infty(A).$$

If  $A$  is a nil algebra over a countable field then  $A[x]$  need not be nil (cf. [11]), even if  $A[x]$  is Jacobson radical (cf. [12]). Thus  $K_1(A) \neq J_1(A)$ . Recall that the Köthe conjecture [6] is true if  $K(R) = J_1(R)$  for every ring  $R$  [7]. Some interesting results and questions connected with this subject can be found in [3; 4; 9; 10].

In this paper we construct, for each countable field  $K$  and each  $i$ , an algebra  $R$  over  $K$  such that  $J_i(R) \neq K_i(R)$ . The most general idea of our example resembles that of the famous Golod–Shafarevich example (cf. [5; 8; 13; 14]). We use techniques similar to those in [11] and [12]. In Sections 1, 2 and 4 we generalise results from sections 2, 3 and 4 of [11]. As in [12], we use Krempa's well-known result that the polynomial ring over a ring  $R$  is Jacobson radical if and only if for any  $n$  the ring of  $n \times n$  matrices over  $R$  is nil.

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The question of whether, for all rings  $R$  and for each  $n$ ,  $J_n(R) = K_{n-1}(R)$  remains open [3; 4].

In what follows,  $K$  is a field,  $z$  is a natural number and  $A$  is the algebra of polynomials in  $z + 2$  non-commuting indeterminates  $a_1, \dots, a_{z+2}$  over  $K$ . Let  $\bar{A}$  be the subalgebra of  $A$  consisting of the polynomials with zero constant term.

We denote by  $M$  the set of monomials in  $a_1, \dots, a_{z+2}$  over  $K$ , and, for each  $n \geq 0$ ,  $M_n$  denotes the set of monomials of degree  $n$ . Thus  $M_0 = 1$ , and for  $n \geq 1$  the elements in  $M_n$  are of the form  $x_1 \dots x_n$ , where  $x_i \in \{a_1, \dots, a_{z+2}\}$ . The  $K$ -subspace of  $A$  spanned by  $M_n$  will be denoted by  $H_n$ , and elements of  $H_n$  will be called homogeneous polynomials of degree  $n$ . Every polynomial  $f \in A$  can be uniquely represented in the form  $f = f_0 + f_1 + \dots + f_d$ , where  $f_i \in H_i$ ,  $f_d \neq 0$ . The  $f_i$  are called homogeneous components of  $f$ , and  $d = \text{degf}$  is the degree of  $f$ .

Given a natural number  $n$  and a set  $F \subseteq A$ , let  $B_n(F)$  denote the right ideal of  $A$  generated by the set  $\bigcup_{k=0,1,2,\dots} M_{nk}F$ , i.e.  $B_n(F) = \sum_{k=0}^{\infty} M_{nk}FA$ .

### 2. Linear mappings

Let  $m_1, m_2, \dots$  be an increasing sequence of natural numbers such that each  $m_i$  divides  $m_{i+1}$ , and let  $S_i$  be a finite subset of  $H_{m_i}$ . In [11, section 2] we introduced mappings  $R_i : H_{m_i} \rightarrow H_{m_i}$  in the case when  $A$  has three generators (i.e.  $z = 1$ ). We will use the same definition (recalled below) when  $z$  is an arbitrary natural number.

Given a subset  $V_i$  of  $H_{m_i}$ , let  $c_{V_i} : H_{m_i} \rightarrow H_{m_i}$  denote the  $K$ -linear mapping such that  $\ker(c_{V_i}) = \text{span}_K(V_i)$ .

Let  $F_i = \{f_{i,1}, \dots, f_{i,r_i}\} \subseteq H_{m_i}$ .

We shall define  $K$ -linear mappings  $R_i : H_{m_i} \rightarrow H_{m_i}$  inductively for  $i = 1, 2, \dots$ .

Put  $R_1 = \text{id}$ . Suppose that  $i \geq 1$  and we have already defined  $R_j$  for  $j \leq i$ . Given  $w = x_1 \dots x_{m_{i+1}} \in M_{m_{i+1}}$ , define

$$R_{i+1}(w) = c_{R_i(F_i)}(R_i(x_1 \dots x_{m_i})) \prod_{j=2}^{m_{i+1}m_i^{-1}} R_i(x_{(j-1)m_{i+1}} \dots x_{jm_i})$$

where  $c_{R_i(F_i)}$  denotes  $c_{\{R_i(f_{i,1}), \dots, R_i(f_{i,r_i})\}}$ .

Since increasing the number of generators of the algebra  $A$  does not affect the proofs in section 2 of [11], we have the following.

**Theorem 1** (cf. [11, theorem 4]). *If  $w \in H_{m_{i+1}} \cap \sum_{i=1}^l B_{m_{i+1}}(F_i)$ , then  $R_{l+1}(w) = 0$ .*

PROOF. See [11] proof of theorem 4. ■

**Lemma 2.** *Define  $s_1 = m_1$ , and  $s_{i+1} = s_i(m_{i+1}m_i^{-1} - 1)$  for  $i \geq 1$ . If  $m_{i+1} > m_i z^{i+4}$  for all  $i \geq 1$ , then  $m_{i+1}(1 - (8z)^{-1}) < s_{i+1} \leq m_{i+1}$ .*

PROOF. Easy induction arguments give  $s_i \leq m_i$ . For every  $i \geq 1$ ,  $s_{i+1} = s_i m_{i+1} m_i^{-1} (1 - m_i m_{i+1}^{-1}) \geq s_i m_{i+1} m_i^{-1} (1 - e_i)$ , where  $e_i = 2^{-(i+4)} z^{-1}$ . One easily checks that  $s_{i+1} \geq m_{i+1} \prod_{k=1}^i (1 - e_k)$ . Hence  $s_{i+1} \geq m_{i+1} (1 - \sum_{k=1}^i e_k) > m_{i+1} (1 - \sum_{k=1}^{\infty} e_k) \geq m_{i+1} (1 - (8z)^{-1})$ . The result follows.

Each polynomial  $w \in H_n$  can be uniquely represented in the form  $\sum \alpha_p p$ , where  $\alpha_p \in K$  and  $p \in M_n$ . Given a monomial  $p = x_1 \dots x_n \in M_n$  and a permutation  $\sigma \in S_n$ , define  $p^\sigma = x_{\sigma(1)} \dots x_{\sigma(n)}$  and  $w^\sigma = \sum \alpha_p p^\sigma$ . ■

**Theorem 3** (cf. [11, theorem 6]). *Suppose that  $m_i, s_i, R_i$  are as in Lemma 2 and Theorem 1. For every integer  $i \geq 0$  there are permutations  $\sigma_i \in S_{m_i}, \pi_i \in S_{m_i}$  and a  $K$ -linear map  $h_i : H_{m_i-s_i} \rightarrow H_{m_i-s_i}$  such that if  $u \in H_{s_i}$  and  $v \in H_{m_i-s_i}$  then*

$$(R_i((uw)^{\sigma_i}))^{\pi_i} = uh_i(v).$$

PROOF. See [11], proof of theorem 6. ■

### 3. Free algebras

Here we will generalise results from section 3 of [11].

Given  $w \in M$  and an element  $x \in \{a_1, \dots, a_{z+2}\}$ , we denote by  $d_x w$  the  $x$ -degree of  $w$ . Obviously the degree of  $w$ , which we denote by  $dw$ , is equal to  $\sum_{i=1}^{z+2} d_{a_i} w$ .

Note that for each integer  $n \geq 0$  the set  $D = \{w \in M : dw = n\}$  is a free basis of the right  $A$ -module  $DA$ .

Given integers  $n_1, \dots, n_{z+2}$ , define  $w(n_1, \dots, n_{z+2}) = 0$  if some of  $n_i$  is  $< 0$ , and  $w(n_1, \dots, n_{z+2}) = \sum \{w \in M : d_{a_i} w = n_i \text{ for } i = 1, \dots, z+2\}$  if all  $n_i \geq 0$ .

We will need the following straightforward lemma.

**Lemma 4.** (a) *For each  $n \geq 0$  the set  $S = \{w(n_1, \dots, n_{z+2}) : n_1, \dots, n_{z+2} \geq 0, n_1 + \dots + n_{z+2} = n\}$  is a free basis of the right  $A$ -module  $SA$ .*

(b) *For arbitrary integers  $n_1, \dots, n_{z+2}$ , and  $r \leq n_1 + \dots + n_{z+2}$ , we have*

$$w(n_1, \dots, n_{z+2}) = \sum \{w(r_1, \dots, r_{z+2})w(n_1 - r_1, \dots, n_{z+2} - r_{z+2}) : r_1 + \dots + r_{z+2} = r\}.$$

In what follows,  $<$  will denote the lexicographical ordering of triples  $(n_1, \dots, n_{z+2})$  of integers (i.e.  $(n_1, \dots, n_{z+2}) < (n'_1, \dots, n'_{z+2})$  if and only if  $n_1 < n'_1$ , or  $n_1 = n'_1$  and  $n_2 < n'_2$ , or  $n_1 = n'_1$  and  $n_2 = n'_2$  and  $n_3 < n'_3$  etc.).

**Lemma 5.** *If  $(n_1, \dots, n_{z+2}) > (n'_1, \dots, n'_{z+2})$ , then for arbitrary integers  $m_1, \dots, m_{z+2}$ ,  $(m_1 - n_1, \dots, m_{z+2} - n_{z+2}) < (m_1 - n'_1, \dots, m_{z+2} - n'_{z+2})$ .*

**Lemma 6** (cf. [11, lemma 9]). *Let  $f : H_p \rightarrow A$ ,  $g : H_q \rightarrow A$  and  $h : H_{p+q} \rightarrow A$  be  $K$ -linear mappings such that for all  $u \in M_p$ ,  $v \in M_q$ ,  $h(uv) = f(u)g(v)$ . If  $h(w(n_1, \dots, n_{z+2})) = 0$  for all  $n_1 + \dots + n_{z+2} = p + q$ , then either  $f(w(p_1, \dots, p_{z+2})) = 0$  for all  $p_1 + \dots + p_{z+2} = p$  or  $g(w(q_1, \dots, q_{z+2})) = 0$  for all  $q_1 + \dots + q_{z+2} = q$ .*

PROOF. Analogous to proof of lemma 9 from [11]. ■

**Theorem 7.** *Let  $p, r$  be integers such that  $p + r > 80z(z + 2)$ ,  $r > 4zp$ , and let  $f : H_p \rightarrow A$  and  $g : H_{r+p} \rightarrow A$  be  $K$ -linear mappings such that for  $u \in M_r$ ,  $v \in$*

$M_p$ , we have  $g(w) = uf(v)$ . If the dimension of the  $K$ -subspace of  $A$  spanned by  $\{g(w(n_1, \dots, n_{z+2})) : n_1 + \dots + n_{z+2} = p + r\}$  is  $< (p + r)^{z+1}(40z(z + 2))^{-z-1}$ , then  $f(w(p_1, \dots, p_{z+2})) = 0$ , for all  $p_1, \dots, p_{z+2}$  such that  $p_1 + \dots + p_{z+2} = p$ . Consequently for all  $n_1 + \dots + n_{z+2} = p + r$  we have  $g(w(n_1, \dots, n_{z+2})) = 0$ .

**PROOF.** The result holds if some  $p_i < 0$ . Hence it suffices to show that each  $f(w(p_1, \dots, p_{z+2}))$ , where  $p_1 + \dots + p_{z+2} = p$ , is a linear combination of  $f(w(q_1, \dots, q_{z+2}))$  such that  $q_1 + \dots + q_{z+2} = p$  and  $(q_1, \dots, q_{z+2}) < (p_1, \dots, p_{z+2})$ . Let  $S = \{(n_1, \dots, n_{z+2}) : n_1 + \dots + n_{z+2} = p + r, (p + r)(z + 2)^{-1} < n_i < (p + r)(z + 2)^{-1}(1 + (20z)^{-1}) \text{ for } i = 1, \dots, z + 1\}$ . First we shall prove that  $\text{card}S \geq (p + r)^{z+1}(40z(z + 2))^{-z-1}$ . Observe that there are at least  $(p + r)(20z(z + 2))^{-1} - 2$  natural numbers lying between  $(p + r)(z + 2)^{-1}$  and  $(p + r)(z + 2)^{-1}(1 + (20z)^{-1})$ , so we can choose  $((p + r)(20z(z + 2))^{-1} - 2)^{z+1}$  distinct sequences  $(n_1, \dots, n_{z+1})$  of natural numbers such that  $(p + r)(z + 2)^{-1} < n_i < (p + r)(z + 2)^{-1}(1 + (20z)^{-1})$  for  $i = 1, \dots, z + 1$ . For each such sequence we can choose a natural number  $n_{z+2}$  such that  $n_1 + \dots + n_{z+2} = p + r$  and  $n_{z+2} \geq (p + r)(z + 2)^{-1}(1 - 10^{-1})$ . Since  $p + r > 80z(z + 2)$ , we get that  $\text{card}S \geq ((p + r)(20z(z + 2))^{-1} - 2)^{z+1} \geq (p + r)^{z+1}(40z(z + 2))^{-z-1}$ .

Hence the assumption of the theorem implies that  $\sum_{(n_1, \dots, n_{z+2}) \in S} l_{n_1, \dots, n_{z+2}} g(w(n_1, \dots, n_{z+2})) = 0$  for some  $l_{n_1, \dots, n_{z+2}} \in K$  not all of which are zeros. Let  $(j_1, \dots, j_{z+2})$  be the maximal element in  $S$ , with respect to  $<$ , such that  $l_{j_1, \dots, j_{z+2}} \neq 0$ . Then  $g(w(j_1, \dots, j_{z+2})) = \sum k_{n_1, \dots, n_{z+2}} g(w(n_1, \dots, n_{z+2}))$  for some  $k_{n_1, \dots, n_{z+2}} \in K$ , where the sum runs over all  $(n_1, \dots, n_{z+2}) \in S$  such that  $(n_1, \dots, n_{z+2}) < (j_1, \dots, j_{z+2})$ .

From Lemma 4(b) we get that if  $n_1 + \dots + n_{z+2} = p + r$  then  $g(w(n_1, \dots, n_{z+2})) = \sum_{r_1 + \dots + r_{z+2} = r} w(r_1, \dots, r_{z+2}) f(w(n_1 - r_1, \dots, n_{z+2} - r_{z+2}))$ , so  $g(w(j_1, \dots, j_{z+2})) = \sum_{r_1 + \dots + r_{z+2} = r} w(r_1, \dots, r_{z+2}) f(w(j_1 - r_1, \dots, j_{z+2} - r_{z+2}))$  and  $g(w(j_1, \dots, j_{z+2})) = \sum k_{n_1, \dots, n_{z+2}} \sum_{r_1 + \dots + r_{z+2} = r} w(r_1, \dots, r_{z+2}) f(w(n_1 - r_1, \dots, n_{z+2} - r_{z+2}))$  where the sum runs over  $(n_1, \dots, n_{z+2}) \in S$  such that  $(n_1, \dots, n_{z+2}) < (j_1, \dots, j_{z+2})$ . These and Lemma 4(a) imply that, for each  $(r_1, \dots, r_{z+2})$  satisfying  $r_1 + \dots + r_{z+2} = r$ , we have  $f(w(j_1 - r_1, \dots, j_{z+2} - r_{z+2})) = \sum k_{n_1, \dots, n_{z+2}} f(w(n_1 - r_1, \dots, n_{z+2} - r_{z+2}))$  where the sum runs over  $(n_1, \dots, n_{z+2}) \in S, (n_1, \dots, n_{z+2}) < (j_1, \dots, j_{z+2})$ .

The definition of  $S$  and the assumption  $r > 4zp$  imply that  $j_i > p$  for  $i = 1, \dots, z + 2$ . Hence for arbitrary  $p_1, \dots, p_{z+2}$ , such that  $p_1 + \dots + p_{z+2} = p$ , the integers  $r_1 = j_1 - p_1, \dots, r_{z+2} = j_{z+2} - p_{z+2}$  are positive and  $r_1 + \dots + r_{z+2} = r$ . Thus

$$\begin{aligned} f(w(p_1, \dots, p_{z+2})) &= f(w(j_1 - r_1, \dots, j_{z+2} - r_{z+2})) \\ &= \sum_{(n_1, \dots, n_{z+2}) < (j_1, \dots, j_{z+2})} k_{n_1, \dots, n_{z+2}} f(w(n_1 - r_1, \dots, n_{z+2} - r_{z+2})). \end{aligned}$$

Clearly  $(n_1 - r_1, \dots, n_{z+2} - r_{z+2}) < (j_1 - r_1, \dots, j_{z+2} - r_{z+2}) = (p_1, \dots, p_{z+2})$ , so the result holds. ■

Now, from Lemma 4(b) we get immediately that if  $n_1 + \dots + n_{z+2} = p + r$  then  $g(w(n_1, \dots, n_{z+2})) = 0$ .

**Theorem 8.** Let  $m_1, m_2, \dots$  be natural numbers such that, for each  $i$ ,  $m_i$  divides  $m_{i+1}$ ,  $m_{i+1} > m_i 2^{i+4}$  and  $m_1 > 80z(z + 2)$ .

Let, for  $i > 0$ ,  $F_i = \{f_{i,1}, \dots, f_{i,r_i}\} \subseteq H_{m_i}$ , with  $r_i < m_i^{z+1}(40z(z+2))^{-z-1}$ , and let  $R_i$  be defined as in Section 1. For every  $i > 0$  there are  $n_1, \dots, n_{z+2}$  such that  $R_i(w(n_1, \dots, n_{z+2})) \neq 0$ .

PROOF. Suppose the contrary. Let  $i$  be the minimal number such that  $R_i(w(n_1, \dots, n_{z+2})) = 0$  for all  $n_1 + \dots + n_{z+2} = m_i$ . Clearly  $i > 1$ . By the definition of  $R_i$  we have that if  $w = x_1 \dots x_{m_i} \in M_{m_i}$  then  $R_i(w) = c_{R_{i-1}(F_{i-1})}(R_{i-1}(x_1 \dots x_{m_{i-1}})) \prod_{j=2}^{m_i/m_{i-1}-1} R_{i-1}(x_{(j-1)m_{i-1}+1} \dots x_{jm_{i-1}})$ . Applying Lemma 6 several times, we get that  $R_{i-1}(w(n_1, \dots, n_{z+2})) = 0$  for all  $n_1 + \dots + n_{z+2} = m_{i-1}$  or  $c_{R_{i-1}(F_{i-1})}(R_{i-1}(w(n_1, \dots, n_{z+2}))) = 0$  for all  $n_1 + \dots + n_{z+2} = m_{i-1}$ . Since  $i$  is minimal, the former is impossible. Thus, suppose the latter holds. Then  $R_{i-1}(w(n_1, \dots, n_{z+2})) \in \text{span}_K \{R_{i-1}(f_{i-1,1}), \dots, R_{i-1}(f_{i-1,r_{i-1}})\} (= \text{ker} c_{R_{i-1}(F_{i-1})})$ .

Consequently we get that the dimension of the  $K$ -subspace of  $A$  spanned by  $\{R_{i-1}(w(n_1, \dots, n_{z+2})) : n_1 + \dots + n_{z+2} = m_{i-1}\}$  is  $\leq r_{i-1} < m_{i-1}^{z+1}(40z(z+2))^{-z-1}$ . For  $w \in M_{m_{i-1}}$  let  $\bar{R}_{i-1}(w) = (R_{i-1}(w^{\sigma_{i-1}}))^{\pi_{i-1}}$  where  $\pi_{i-1}, \sigma_{i-1}$  are those of Theorem 3. Observe that  $(w(n_1, \dots, n_{z+2}))^\sigma = w(n_1, \dots, n_{z+2})$ . Consequently  $\bar{R}_{i-1}(w(n_1, \dots, n_{z+2})) = (R_{i-1}(w(n_1, \dots, n_{z+2})))^{\pi_{i-1}}$ . Consequently the dimension of the  $K$ -subspace of  $A$  spanned by  $\{\bar{R}_{i-1}(w(n_1, \dots, n_{z+2})) : n_1 + \dots + n_{z+2} = m_{i-1}\}$  is  $< m_{i-1}^{z+1}(40z(z+2))^{-z-1}$ . By Lemma 2 and Theorem 3,  $r = s_{i-1}, p = m_{i-1} - s_{i-1}, f = h_{i-1}$  and  $g = \bar{R}_{i-1}$  satisfy the assumptions of Theorem 7. Hence  $\bar{R}_{i-1}(w(n_1, \dots, n_{z+2})) = 0$  and  $R_{i-1}(w(n_1, \dots, n_{z+2})) = 0$  for all  $n_1 + \dots + n_{z+2} = m_{i-1}$ . We are finished.  $\blacksquare$

#### 4. Algebras $T$ and $T_1$

In what follows,  $K$  is a field and  $T$  is the algebra of polynomials in non-commuting indeterminates  $b_1, \dots, b_{z+2}$  over  $K$ .

We denote by  $\bar{T}$  the subalgebra of polynomials with zero constant term in  $T$ , and by  $\bar{M}$  the set of monomials in  $b_1, \dots, b_{z+2}$ . Let  $Q$  be the additive monoid generated by  $1/2$ . Define the semigroup homomorphism  $\xi : \bar{M} \rightarrow Q$  as follows:  $\xi(b_i) = 1$  for  $i = 1, \dots, z+1$ ,  $\xi(1) = 0$  and  $\xi(b_{z+2}) = 1/2$ .

Set  $\bar{M}_0 = \{1\}$ , and for each  $q \in Q$  denote by  $\bar{M}_q$  the set of monomials  $w$  such that  $\xi(w) = q$ . The  $K$ -subspace of  $T$  spanned by  $\bar{M}_q$  will be denoted by  $\bar{H}_q$ . Thus  $\bar{H}_q = \text{span}_K \bar{M}_q$ .

Given  $F \subseteq T$ , we denote by  $M^l(F)$  the set of all matrices  $l \times l$  over  $F$ .

Let  $T[y_1, \dots, y_{z-1}]$  be the polynomial ring in  $z-1$  commuting indeterminates  $y_1, \dots, y_{z-1}$  over  $T$ , and let  $\bar{K}$  or  $K[y_1, \dots, y_{z-1}]$  denote the polynomial ring over  $K$  in  $z-1$  commuting indeterminates  $y_1, \dots, y_{z-1}$ .

Define  $K_p = \text{span}_K \{w_1 \dots w_j : j < p \text{ and } w_i \in \{y_1, \dots, y_{z-1}\} \text{ for all } i \leq j\}$ .

**Lemma 9.** *Let  $f = \sum_{i=1}^t f_i$  where  $f_i \in M^l(K_p \bar{H}_{i/2})$  for each  $i$ . Suppose that either  $n$  or  $n + 1/2$  is a natural number  $> t$ . Then there exists a set  $G(f, n) \subseteq \bar{H}_n$  with  $\text{card} G(f, n) < l^2(2np)^2 t(z+2)^t$  such that, for every natural number  $m > 2n$ ,*

$$f^m \in M^l \left( \left( G(f, n) \sum_{i=1}^t \bar{M}_{i/2} \bar{K} f^{m-2n} + \bar{M}_{n-1/2} \{b_1, \dots, b_{z+1}\} \right) T \bar{K} \right).$$

PROOF. Given  $1 \leq j \leq t$  and  $1 \leq k \leq 2n-j$ , we set  $w_{j,k} = \sum_{(i_1, \dots, i_k): i_1 + \dots + i_k = 2n-j} f_{i_1} \dots f_{i_k}$ . Note that  $w_{j,k} \in \overline{H}_{n-j/2}$ . Let  $G_1(f, n) = \bigcup_{1 \leq j \leq t} \bigcup_{1 \leq k \leq 2n-j} w_{j,k} \overline{M}_{j/2}$ . Clearly  $G_1(f, n) \subseteq M^l(\overline{H}_n K_{2np})$ . Observe that, for all natural  $j$ ,  $\text{card} \overline{M}_{j/2} \leq (z+2)^j$ . Note that for each  $j$  the number of polynomials  $w_{j,k}$  is  $< 2n$ . Thus we get  $\text{card} G_1(f, n) < 2nt \sup_{1 \leq j \leq t} (\text{card}(\overline{M}_{j/2})) \leq 2nt(z+2)^t$ . Note that, since  $y_1, \dots, y_{z-1}$  are commuting indeterminates, we have  $\dim_K K_{2np} \leq (2np)^{z-1}$ . Thus there is a set  $G(f, n) \subseteq \overline{H}_n$  such that  $G_1(f, n) \subseteq M^l(G(f, n) K_{2np})$  and  $\text{card} G(f, n) \leq l^2(2np)^{z-1} \text{card} G_1(f, n) \leq l^2(2np)^z t(z+2)^t$ .

Observe now that  $f^m = \sum_{1 \leq i_1, \dots, i_m \leq t} f_{i_1} \dots f_{i_m}$ . For each term  $f_{i_1} \dots f_{i_m}$  there exists  $1 \leq k < 2n$  such that  $i_1 + \dots + i_k < 2n < m$  and  $i_1 + \dots + i_{k+1} \geq 2n$  (because  $i_1 \leq t < 2n$  and  $i_1 + \dots + i_{2n} \geq 2n$ ). Thus (cf. [11], proof of lemma 1)  $f^m = \sum_{k=1}^m \sum_{i_1 + \dots + i_k < 2n \leq i_1 + \dots + i_{k+1}} f_{i_1} \dots f_{i_{k+1}} \sum_{1 \leq i_{k+2}, \dots, i_m \leq t} f_{i_{k+2}} \dots f_{i_m}$ . However,  $\sum_{1 \leq i_{k+2}, \dots, i_m \leq t} f_{i_{k+2}} \dots f_{i_m} = \sum_{1 \leq j_1, \dots, j_{m-k-1} \leq t} f_{j_1} \dots f_{j_{m-k-1}} = f^{m-k-1}$ . Consequently we get that  $f^m = \sum_{k=1}^{2n-1} \sum_{i_1 + \dots + i_k < 2n \leq i_1 + \dots + i_{k+1}} f_{i_1} \dots f_{i_{k+1}} f^{m-k-1}$ . Thus  $f^m = \sum_{k=1}^{2n-1} \sum_{j=1}^{\min(2n-k, t)} \sum_{i_1 + \dots + i_k = 2n-j} f_{i_1} \dots f_{i_k} (f_j + \dots + f_t) f^{m-k-1}$ . So  $f^m = \sum_{j=1}^t \sum_{k=1}^{2n-j} \sum_{i_1 + \dots + i_k = 2n-j} f_{i_1} \dots f_{i_k} (f_j + \dots + f_t) f^{m-k-1} = \sum_{j=1}^t \sum_{k=1}^{2n-j} w_{j,k} (f_j + \dots + f_t) f^{m-k-1}$ .

Observe first that, since  $1 \leq k \leq 2n-j$ ,  $f^{m-k-1} = f^{m-2n} f^l$  for some  $l \geq 0$ . Clearly each  $w_{j,k} (f_j + \dots + f_t)$  is a linear combination of elements from  $G_1(f, n) M^l(\sum_{i=1}^t \overline{M}_{i/2} \overline{K})$  and elements from  $M^l(\overline{M}_{n-1/2} \{b_1, \dots, b_{z+1}\} T \overline{K})$ . Since  $G_1(f, n) \subseteq M^l(\overline{K} G(f, n))$ , we get the result. ■

Let  $T_1$  be the subalgebra of  $T$  generated by  $b_1, \dots, b_{z+1}$  and  $b_{z+2}^2$ .

Let  $S_1 = \text{span}_K \{s \in \overline{M} : s \notin T_1\}$  and  $S_2 = \text{span}_K \{s \in \overline{M} : sT \cap T_1 = 0\}$ .

Given a natural number  $n$  and a set  $F \subseteq T$ , let  $D_n(F)$  denote the right ideal of  $R$  generated by the set  $\bigcup_{k=0,1,2,\dots} \overline{M}_{nk} F$ . Similarly, given a natural number  $n$  and a set  $\overline{F} \subseteq T_1$ , let  $C_n(\overline{F})$  denote the right ideal of  $T_1$  generated by the set  $\bigcup_{k=0,1,2,\dots} (\overline{M}_{nk} \cap T_1) \overline{F}$ . Thus  $D_n(F) = \sum_{k=0,1,2,\dots} \overline{M}_{nk} F T$  and  $C_n(\overline{F}) = \sum_{k=0,1,2,\dots} (\overline{M}_{nk} \cap T_1) \overline{F} T_1$ .

Given a matrix  $S$  with entries in  $\overline{K} T$ , we denote by  $[S]$  the set of coefficients of entries of  $S$ . Thus  $[S] \subseteq T$ .

**Theorem 10.** *Let  $f$  be as in Lemma 9. Let  $r, w$  be natural numbers with  $w > r > 2t$ . There exists a set  $F(f, r) \subseteq \overline{H}_r$  with  $\text{card} F(f, r) < l^2(2rp)^z (z+2)^{4t}$  such that the two-sided ideal of  $T$  generated by  $[f^{10w}]$  is contained in  $D_w(F(f, r)) + S_2$ .*

PROOF. Let  $F(f, r) = \bigcup_{0 \leq i \leq t} \overline{M}_{i/2} G(f, r-i/2)$  where  $G(f, n)$  is defined as in Lemma 9. Observe that, for all  $i$ ,  $\text{card} \overline{M}_{i/2} \leq (z+2)^i$ . Hence  $\text{card} F(f, r) < (t+1) \sup_{1 \leq i \leq t} (\text{card} \overline{M}_{i/2} G(f, r-i/2)) \leq l^2(t+1)t(z+2)^{2t} (2rp)^z \leq l^2(2rp)^z (z+2)^{4t}$ .

Since  $S_2$  is the right ideal of  $T$ , it suffices to show that  $u[f^{10w}] \subseteq D_w(F(f, r)) + S_2$  for all monomials  $u$ . Observe that either  $u \in S_2$  or  $u = u''u'$  for some  $u'', u' \in \overline{M}$  such that  $\zeta(u'')$  is a natural number divisible by  $w$  and  $\zeta(u') \leq w$ . Observe that if, for some  $v \in \overline{M}$ ,  $\zeta(v)$  is neither a natural number nor 0, then  $vb_i \in S_2$  for  $i = 1, \dots, z+1$ . Hence  $u \overline{M}_{2w-\zeta(u')-1/2} \{b_1, \dots, b_{z+1}\} \subseteq S_2$ . Applying Lemma 9 to  $f$ ,  $n = 2w - \zeta(u')$  and

$m = 10w$ , we get

$$u[f^{10w}] \subseteq uG(f, 2w - \zeta(u')) \left( \sum_{i=1}^t \overline{M}_{i/2} \overline{K} \right) [f^{6w+2\zeta(u')}] + S_2.$$

For each  $h \in G(f, 2w - \zeta(u'))$ ,  $\zeta(u'h) = 2w$ , so  $w$  divides  $\zeta(u'h)$ . Thus it suffices to show that  $[gf^{6w+2\zeta(u')}] \subseteq F(f, r) + S_2$  for each monomial  $g$  such that  $\zeta(g) \leq t/2$ . Observe first that, since  $r$  is a natural number, we have  $g\overline{M}_{r-\zeta(g)-1/2}\{b_1, \dots, b_{z+1}\} \subseteq S_2$ . Hence, applying Lemma 9 to  $f$  and  $n = r - \zeta(g)$ ,  $m = 6w + 2\zeta(u')$ , we get

$$[gf^{6w+2\zeta(u')}] \subseteq gG(f, r - \zeta(g)) \left( \sum_{i=1}^t \overline{M}_{i/2} \overline{K} \right) [f^{6w+2\zeta(u)-2r+2\zeta(g)}] + S_2.$$

Since  $gG(f, r - \zeta(g)) \subseteq F(f, r)$ , we obtain our claim. ■

**Corollary 11.** *Let  $f, r, w$  be as in Theorem 10. There exists a set  $\overline{F}(f, r) \subseteq \overline{H}_r \cap T_1$  with  $\text{card}\overline{F}(f, r) < l^2(2rp)^z(z+2)^{4t}$  such that the two-sided ideal of  $T$  generated by  $[f^{10w}]$  is contained in  $C_w(\overline{F}(f, r)) + S_1$ .*

PROOF. Let  $F(f, r)$  be as in Theorem 10. It suffices to show that there is a set  $\overline{F}(f, r) \subseteq \overline{H}_r \cap T_1$  with  $\text{card}\overline{F}(f, r) \leq \text{card}F(f, r)$  such that  $D_w(F(f, r)) \subseteq C_w(\overline{F}(f, r)) + S_1$ . Each  $h \in F(f, r)$  can be uniquely represented in the form  $h = h' + h''$ , where  $h' \in \overline{H}_r \cap T_1$  and  $h'' \in S_1$ . The set of all such  $h'$  will be denoted by  $\overline{F}(f, r)$ . Thus,  $\text{card}\overline{F}(f, r) \leq \text{card}F(f, r)$ . Each element of  $D_w(F(f, r))$  is a linear combination of elements  $ghg'$  where  $g' \in M$ ,  $h \in F(f, r)$  and  $g \in \overline{M}_{wk}$  for some  $k \in \{0, 1, \dots\}$ . Since  $k, w, r$  are natural numbers, it follows that if  $g \in S_1$  or  $g' \in S_1$  then  $gF(f, r)g' \subseteq S_1$ . Suppose now that  $g, g' \in T_1$ . Observe that if  $h'' \in S_1$  then  $gh''g' \in S_1$  and  $gh'g' \in C_w(\overline{F}(f, r))$ . This proves the corollary. ■

From Theorem 10 and Corollary 11 we get the following.

**Corollary 12.** *Let  $f_i \in M^{l_i}(K_{p_i}(\sum_{j=1}^{t_i} H_{i/2}))$  for  $i = 1, 2, \dots$  and for some natural numbers  $l_i, p_i, t_i$ , and let  $m_i$ ,  $i = 1, 2, \dots$ , be an increasing sequence of natural numbers such that  $m_i > 2t_i$ . There exist subsets  $F_i \subseteq \overline{H}_{m_i} \cap T_1$  with  $\text{card}F_i < l_i^2(2m_i p_i)^z(z+2)^{4t_i}$  such that the ideal of  $T$  generated by  $[f_i^{10m_{i+1}}]$ ,  $i = 1, 2, \dots$ , is contained in  $\sum_{i=1}^{\infty} C_{m_{i+1}}(F_i) + S_1$ .*

### 5. The main result

Let  $\alpha : A \rightarrow T_1$  be the homomorphism defined by  $\alpha(a_i) = b_i$  for  $i \leq z+1$  and  $\alpha(a_{z+2}) = b_{z+2}^2$ . Observe that  $\alpha : A \rightarrow T_1$  is an isomorphism.

**Corollary 13.** *For all  $n$ ,  $\alpha(M_n) = \overline{M}_n \cap T_1$  and  $\alpha^{-1}(C_n(F)) = B_n(\alpha^{-1}(F))$ .*

Let  $w(n_1, \dots, n_{z+2})$  be defined as in Section 2. Given integers  $n_1, \dots, n_{z+2}$ , define  $p(n_1, \dots, n_{z+2}) = 0$  if some of  $n_i$  is  $< 0$ , and  $p(n_1, \dots, n_{z+2}) = \sum \{v \in \bar{M} : d_{b_i} v = n_i \text{ for } i = 1, \dots, z + 2\}$  if all  $n_i \geq 0$ , where  $d_x v$  is the  $x$ -degree of  $v$  for  $x \in \{b_1, \dots, b_{n+2}\}$ .

**Lemma 14.** For all  $n_1, \dots, n_{z+2}$ , we have  $p(n_1, \dots, n_{z+2}) \in S_1$  if  $n_{z+2}$  is odd, and  $p(n_1, \dots, n_{z+2}) - \alpha(w(n_1, \dots, n_{z+1}, n_{z+2}/2)) \in S_1$  if  $n_{z+2}$  is even.

PROOF. By induction on  $n_1 + \dots + n_{z+2}$  and Lemma 4(b). ■

Now we will prove the main result.

**Theorem 15.** For every countable field  $K$  and for every natural number  $z$  there is an algebra  $\tilde{T}$  over  $K$  (generated by  $z + 2$  elements) such that the polynomial algebra  $\tilde{T}[y_1, \dots, y_z]$  over  $\tilde{T}$  in  $z$  commuting indeterminates is Jacobson radical but not nil.

PROOF. Recall first that  $\bar{K} = K[y_1, \dots, y_{z-1}]$ . Let  $\bar{T}$  be the subalgebra of  $T$  of polynomials with zero constant term. Since  $K$  is countable, elements in  $\bigcup_{i=1}^{\infty} M^i(\bar{K} \bar{T})$  can be enumerated, i.e.  $\bigcup_{i=1}^{\infty} M^i(\bar{K} \bar{T}) = \{f_1, f_2, \dots\}$ . For each  $f_i$  fix natural numbers  $l_i, t_i, p_i$  such that  $f_i \in M^{l_i}(K_{p_i}(\sum_{i=1}^{t_i} \bar{H}_{i/2}))$ .

It is clear that there are natural numbers  $m_1, m_2, \dots$  satisfying

- (i)  $m_1 > 80z(z + 2)$  and, for each  $i, m_i \geq l_i^2(2p_i)^z(z + 2)^{4t_i}(40z(z + 2))^{z+1}$ ;
- (ii) for each  $i \geq 1, m_{i+1} > m_i z 2^{i+4}$  and  $m_i$  divides  $m_{i+1}$ .

Let  $I$  be the ideal of  $\bar{T}$  generated by  $\{[f_i^{10m_{i+1}}] : i = 1, 2, \dots\}$ . Hence, for each  $l, M^l((\bar{T}/I)[y_1, \dots, y_{z-1}])$  is nil. Consequently from Krempa's result quoted at the beginning of the paper we see that  $(\bar{T}/I)[y_1, \dots, y_z]$  is Jacobson radical.

Suppose that  $(\bar{T}/I)[y_1, \dots, y_z]$  is nil. Then there is a number  $k$  such that, for all  $l \geq k, (b_1 y_1 + \dots + b_z y_z + b_{z+1} + b_{z+2})^l \in I[y_1, \dots, y_z]$ .

Consequently, for all  $0 \leq n_1, \dots, n_z \leq l, \sum_{0 \leq i \leq l} p(n_1, \dots, n_z, l - (n_1 + \dots + n_z + i), i) \in I$ .

From Corollary 12,  $I \subseteq \sum_{i=1}^{\infty} C_{m_{i+1}}(F_i) + S_1$  for some  $F_i \subseteq \bar{H}_{m_i} \cap T_1$  with  $\text{card} F_i < l_i^2(2m_i p_i)^z(z + 2)^{4t_i}$ . Consequently from (i)  $\text{card} F_i < m_i^{z+1}(40z(z + 2))^{z-1}$ . From this and from Lemma 14 we get that for all  $n_1, \dots, n_z$

$$\sum_{0 \leq j \leq l/2} \alpha(w(n_1, \dots, n_z, l - (n_1 + \dots + n_z + 2j), j)) - q_{n_1, \dots, n_z} \in S_1$$

for some  $q_{n_1, \dots, n_z} \in \sum_{i=1}^{\infty} C_{m_{i+1}}(F_i)$ . Since the left side of this equation belongs to  $T_1$  and  $T_1 \cap S_1 = 0$ , it is equal to 0. Now, from Corollary 13,

$$\sum_{0 \leq j \leq l/2} w(n_1, \dots, n_z, l - (n_1 + \dots + n_z + 2j), j) \in \sum_{i=1}^{\infty} B_{m_{i+1}}(E_i)$$

where  $E_i = \alpha^{-1}(F_i)$ .

Observe now that  $w(n_1, \dots, n_z, l - (n_1 + \dots + n_z + 2j), j) \in H_{l-j}$ . Since  $\sum_{i=1}^{\infty} B_{m_{i+1}}(E_i)$  is homogeneous, we get that  $w(n_1, \dots, n_{z+2}) \in \sum_{i=1}^{\infty} B_{m_{i+1}}(E_i)$  for all  $n_1 + \dots + n_{z+1} + 2n_{z+2} = l$ . This holds for all  $l \geq k$ . Moreover, if  $n_1 + \dots + n_{z+1} + n_{z+2} = m_k$  then  $n_1 + \dots + n_{z+1} + 2n_{z+2} \geq m_k \geq k$ . Consequently  $w(n_1, \dots, n_{z+2}) \in \sum_{i=1}^{\infty} B_{m_{i+1}}(E_i)$  for all

$n_1 + \dots + n_{z+2} = m_k$ . Since  $\sum_{i=1}^{\infty} B_{m_{i+1}}(E_i)$  is homogeneous and  $E_i \subseteq H_{m_i}$ , we get that  $w(n_1, \dots, n_{z+2}) \in \sum_{i=1}^k B_{m_{i+1}}(E_i)$  for all  $n_1 + \dots + n_{z+2} = m_k$ . Now, by Lemma 4(b), for all  $n_1 + \dots + n_{z+2} = m_{k+1}$ ,  $w(n_1, \dots, n_{z+2}) \in \sum_{i=1}^k B_{m_{i+1}}(E_i)$ .

By Theorem 1 we have  $R_{k+1}(w(n_1, \dots, n_{z+2})) = 0$  for all  $n_1 + \dots + n_{z+2} = m_{k+1}$ . By Theorem 8 this is impossible. Thus  $\tilde{T} = \overline{T}/I$  satisfies the assumptions of our theorem. ■

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